

PATENT APPLICATION

Sheet 1 of 1

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| FORM PTO-1449 | | ATTY. DOCKET NO. 10992554 | SERIAL NO. |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | | APPLICANT Alan Hersh Karp, et al. | U.S. PRO 09/525515 03/15/00 |
| (Use several sheets if necessary) | | FILING DATE Herewith | |
| | | GROUP | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS |
|------------------|-----------------|------|------|-------|-----------|
| 1A | | | | | |
| 1B | | | | | |
| 1C | | | | | |
| 1D | | | | | |
| 1E | | | | | |
| 1F | | | | | |
| 1G | | | | | |
| 1H | | | | | |
| 1I | | | | | |
| 1J | | | | | |
| 1K | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | TRANSLATION |
|----|-----------------|------|------|-------|-----------|-------------|
| | | | | | | YES |
| 1L | | | | | | |
| 1M | | | | | | |
| 1N | | | | | | |
| 1O | | | | | | |
| 1P | | | | | | |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|-----------|----|--|
| <i>CA</i> | 1Q | Rosenschein, Jeffrey S., et al., "Rules of Encounter: Designing Conventions For Automated Negotiation Among Computers, pp. 40-41, 1994. |
| <i>CA</i> | 1R | Jennings, N.R., et al., "Autonomous Agents For Business Process Management", International Journal of Applied Artificial Intelligence", 2000. |
| <i>CA</i> | 1S | Faratin, P., et al. "Designing Responsive And Deliberate Automated Negotiators", Proc. AAAI Workshop on Negotiation: Settling Conflicts And Identifying Opportunities, 1999. |

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| EXAMINER | DATE CONSIDERED |
| <i>Mur</i> | <i>8/28/03</i> |

PATENT APPLICATION
Sheet 2 of 2

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|---|--|---|------------|
| FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | ATTY. DOCKET NO. 10992554 | SERIAL NO. |
| | | APPLICANT Alan Hersh Karp, et al. | |
| | | FILING DATE Herewith | GROUP |

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

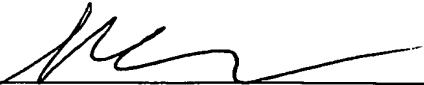
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS |
|------------------|-----------------|------|------|-------|-----------|
| 2A | | | | | |
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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | TRANSLATION |
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| | | | | | | YES |
| 2L | | | | | | |
| 2M | | | | | | |
| 2N | | | | | | |
| 2O | | | | | | |
| 2P | | | | | | |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

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|---|----|--|
|  | 2Q | Parsons, Simon, et al., "Agents That Reason And Negotiate By Arguing", Journal of Logic And Computation 8, pp. 1-33, 1998. |
| | 2R | |
| | 2S | |

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|---|-----------------|
| EXAMINER | DATE CONSIDERED |
|  | 9/24/02 |